



1745

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/667,888
Filing Date	September 23, 2003
First Named Inventor	Joseph Cargnelli
Art Unit	1745
Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number
	9351-248

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Acknowledgement postcard Letter Cited documents</b>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BERESKIN & PARR, Ian C. McMillan, Registration 43,390
Signature	
Date	May 20, 2004

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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May 20, 2004



Ian C. McMillan B.Sc. (Math & Eng.), LL.B., LL.M.  
416 957 1644 imcmillan@bereskinparr.com

Your Reference: 10/667,888  
Our Reference: 9351-248

### **INFORMATION DISCLOSURE STATEMENT**

The Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia  
U.S.A 22313-1450

Dear Sir:

**Re: United States Patent Application No. 10/667,888**  
**Title: SYSTEM AND METHOD FOR PROCESS GAS STREAM**  
**DELIVERY AND REGULATION USING DOWN SPOOL CONTROL**  
**Filed: September 23, 2003**  
**Applicant: Joseph Cargnelli, Todd A. Simpson and Stephen Burany**  
**Group: 1745**

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, applicant hereby submits (1) an Information Disclosure Statement listing patents of which it is now aware on form PTO-1449, and (2) a copy of the foreign patents listed. The patents listed were not known to any individual designated in 37 CFR 1.56(c) as of three months ago.

The Examiner is advised, pursuant to provisions of 37 CFR 1.97(e) that each item of the information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart for an application not more than three months prior to filing of this statement. In particular, the information was cited in the International Search Report of the corresponding PCT application, which was received by the undersigned on May 10, 2004.

A copy of the search report is attached hereto. The undersigned's understanding of the relevance of each document is based upon the search report.

*Please send all correspondence to the Toronto office:*

Scotia Plaza, 40 King St. West, 40th Floor, Toronto, Ontario, Canada M5H 3Y2 Tel: 416.364.7311 Fax: 416.361.1398	2000 Argentia Rd., Plaza 4, Ste. 430, Mississauga, Ontario, Canada L5N 1W1 Tel: 905.812.3600 Fax: 905.814.0031	Waterloo Technology Campus, 408 Albert St., Ste. 2, Waterloo, Ontario, Canada N2L 3V3 Tel: 519.783.3210 Fax: 519.783.3211
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Please have these documents recorded against the above-mentioned application.

Yours truly,



Ian C. McMillan  
/lbr



PTO/SB/08a (08-03)

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Substitute for form 1449A/RTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1 of 1

RADAR Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<i>Application Number</i>	10/667,888
		<i>Filing Date</i>	September 23, 2003
		<i>First Named Inventor</i>	Joseph Cargnelli
		<i>Art Unit</i>	1745
		<i>Examiner Name</i>	
<i>(Use as many sheets as necessary)</i>		<i>Attorney Docket Number</i>	9351-248
Sheet	1	of	1

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.4. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

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